10 619 80

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No

Issued:

7,343,016 B2

March 11, 2008

Name of P

The Penn State Research Foundation

Title of Invention:

LINEAR INDEPENDENCE METHOD FOR NONINVASIVE ON-LINE SYSTEM IDENTIFICATION/SECONDARY PATH MODELING FOR FILTERED-X LMS-BASED ACTIVE

NOISE CONTROL SYSTEMS

Customer No.:

27,623

Attorney Docket No.:823.0145USU

Mail Stop Certificate of Correction Branch **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Certificate

APR 0 2 2008

TRANSMITTAL LETTER

of Correction

Dear Sir:

We are enclosing:

Request for Certificate of Correction of Patent; 1.

PTO Form 1050 in duplicate; 2.

Transmittal Letter in duplicate; and 3.

Postcard.

Please charge any fees or credit any overpayment of fees, if necessary, to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle, L.L.P. A duplicate copy of this Transmittal is attached for that purpose.

Respectfully submitted,

Date: March 27, 2008

Paul D. Greelev

Reg. No. 31,019

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10th Floor

Stamford, CT 06901-2682

Tel. (203) 327-4500

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP: CERTIFICATE OF CORRECTION BRANCH, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON MARCH 27, 2008.

LYNN C. WALLACH NAME

SIGNATURE

RECEIVED-USPTO MARCH/27/12008Publication DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent N IAR 3 1 2008 Issued:

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LYNN C. WALLACH NAME

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Mail Stop Certificate of Correction Branch **Commissioner for Patents** P.O. Box 1450Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION

Dear Sir:

We hereby request that the above-identified patent be corrected as follows:

IN THE ABSTRACT PAGE

Code (75) Please correct residence of Inventor Benjamin Jung Kim to read "State College, PA (US)"

Code (73) Please correct residence of Assignee The Penn State Research Foundation to read "University Park, PA (US)"

IN THE DESCRIPTION

Column 1,

Line 14, after "... periodic noise." please add the following heading and paragraph from Line 15 and following:

--FEDERALLY SPONSORED RESEARCH AND DEVELOPMENT

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This invention was made with government support under Contract No. N00039-97-D-0042 Delivery Order No. 227, awarded by the Naval Sea Systems Command. The Government 2 2008 has certain rights in the invention .--

REMARKS

Entry of the indicated CORRECTIONS in the Patent identified above is respectfully requested.

It is submitted that the residence of the Inventor and the residence of the Assignee were entered incorrectly by the PTO. A copy of the cover sheet of the application as published, the recorded Assignment and the inventor's Declaration and Power of Attorney are enclosed to support the correction.

It is submitted that the statement of development support from the Naval Sea Systems Command was omitted without deceptive intent, as an inadvertent error on Applicant's part. The Confirmatory License is recorded on Reel 014617 and Frame 0652.

For the reasons set forth above, it is recommended that the current request for entry of corrections be accepted, and that the proper Certificate of Correction be issued to Patent No. 7,343,016 B2.

Dated: March 27, 2008

Respectfully submitted,

Paul D. Greeley

Registration No. 31,019 Attorney for Applicant

Ohlandt, Greeley, Ruggiero & Perle, LLP

One Landmark Square, 10th Floor

Stamford, CT 06901-2682

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(12) United States Patent Kim

(10) Patent No.:

US 7,343,016 B2

(45) Date of Patent:

Mar. 11, 2008

(54) LINEAR INDEPENDENCE METHOD FOR NONINVASIVE ON-LINE SYSTEM IDENTIFICATION/SECONDARY PATH MODELING FOR FILTERED-X LMS-BASED ACTIVE NOISE CONTROL SYSTEMS 7 VALE CONEGL.

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(75) Inventor: Benjamin Jung Kim, McClean, VA

(US)

(73) Assignee: The Penn State Research Foundation,

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 667 days.

(21) Appl. No.: 10/619,801

(22) Filed: Jul. 16, 2003

(65) Prior Publication Data

US 2004/0037430 A1 Feb. 26, 2004

Related U.S. Application Data

- (60) Provisional application No. 60/397,526, filed on Jul. 19, 2002.
- (51) Int. Cl. A61F 11/06

(2006.01)

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S.M. Kuo, M. Wang, and K. Chen. "Active Noise Control System with Parallel On-Line Error Path Modeling Algorithm," Noise Control Engineering Journal, vol. 39, No. 3, 1992, pp. 119-127.

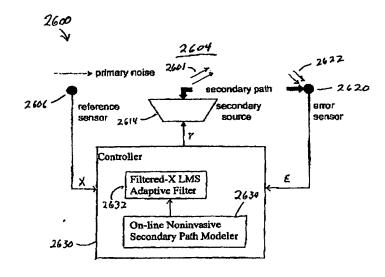
(Continued)

Primary Examiner—Vivian Chin Assistant Examiner—Devona E Faulk (74) Attorney, Agent, or Firm—Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

(57) ABSTRACT

A method for noninvasive on-line secondary path modeling for the filtered-X LMS algorithm actively controls periodic noise. The method, based in the frequency domain, uses the concept of linear independence of two equations/two unknowns to arrive at the secondary path estimate. Linear independence of the two equations is achieved by adjusting the control filter output via the filter coefficients prior to the acquisition of the second set of data corresponding to the second equation.

9 Claims, 24 Drawing Sheets



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US 20040037430A1

(19) United States

(12) Patent Application Publication (10) Pub. No.: US 2004/0037430 A1

Feb. 26, 2004 (43) Pub. Date:

- (54) LINEAR INDEPENDENCE METHOD FOR NONINVASIVE ON-LINE SYSTEM IDENTIFICATION/SECONDARY PATH MODELING FOR FILTERED-X LMS-BASED **ACTIVE NOISE CONTROL SYSTEMS**
- (75) Inventor: Benjamin Jung Kim, State College, PA

Correspondence Address: Paul D. Greeley, Esq. Ohlandt, Greeley, Ruggiero & Perle, L.L.P. 10th Floor One Landmark Square Stamford, CT 06901-2682 (US)

- Assignee: THE PENN STATE RESEARCH **FOUNDATION**
- Appl. No.: 10/619,801
- (22)Filed: Jul. 16, 2003

Related U.S. Application Data

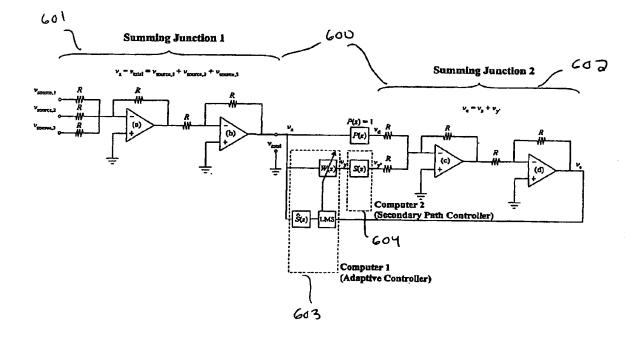
(60) Provisional application No. 60/397,526, filed on Jul. 19, 2002.

Publication Classification

- (51) Int. Cl.⁷ A61F 11/06; G10K 11/16; H03B 29/00

ABSTRACT

A method for noninvasive on-line secondary path modeling for the filtered-X LMS algorithm actively controls periodic noise. The method, based in the frequency domain, uses the concept of linear independence of two equations/two unknowns to arrive at the secondary path estimate. Linear independence of the two equations is achieved by adjusting the control filter output via the filter coefficients prior to the acquisition of the second set of data corresponding to the second equation.







<u>United States Patent and Trademark Office</u>

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 23, 2004

PTAS

OHLANDT, GREELEY ET AL PAUL D. GREELEY, ESQ. ONE LANDMARK SQUARE, 10TH FLOOR STAMFORD, CT 06901-2682



102503014A

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/16/2003

REEL/FRAME: 014280/0876

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KIM, BENJAMIN JUNG

DOC DATE: 07/15/2003

ASSIGNEE:

PENN STATE RESEARCH FOUNDATION, THE 304 OLD MAIN UNIVERSITY PARK, PENNSYLVANIA 16802

SERIAL NUMBER: 10619801

PATENT NUMBER:

FILING DATE: 07/16/2003

ISSUE DATE:

IAN 30 2004

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014280/0876 PAGE 2

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	4.	Execution Date: July 15, 2003 Application number(s) or application date(s): If this document is being filed together with a new	1061980 600				
	the ap	oplication is: July 15, 2003 A. <u>Application/Patent No.</u> B. <u>A</u>	Application/Issue Date NOT YET ASSIGNED				
	5. should	Name and address of party to whom correspond be mailed:	ence concerning this document				
07/18/200 01 FC:800		Paul D. Greeley, Es Paul D. Greeley, Es All 00000027 010467 10612991andt, Greeley, Ruggiero & Al 00 0P One Landmark Square, 1 Stamford, Connecticut 06901-	Perle, L.L.P. 0 th Floor				
	6. Total No. of applications and patents involved: 1.						
		Total Fee (37 C.F.R. 3.41(h)) \$40.00/assignment XXXXX Enclosed Authorized to be charged to deposit ac					
	that ma	ommissioner is hereby authorized to charge any a ay be required with this communication or credit a I-0467. A duplicate copy of this Form is enclosed	any overpayment, to Deposit Account				

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a

Paul D. Greeley

Name of Person Signing

true copy of the original document.

6ignature Total number of pages including cover sheet, attachments and document: 3

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823.0145USU

ASSIGNMENT

FOR valuable and legally sufficient considerations, receipt of which is hereby acknowledged, I, <u>Benjamin Jung Kim</u>, a citizen of the United States of America, and a resident of <u>125 W. Park Avenue</u>, <u>Rm 9, State College</u>, <u>PA 16803</u> have invented certain new and useful improvements in

A LINEAR INDEPENDENCE METHOD FOR NONINVASIVE ON-LINE SYSTEM IDENTIFICATION/SECONDARY PATH MODELING FOR FILTERED-X LMS-BASED ACTIVE NOISE CONTROL SYSTEMS

hereby assigns to The Penn State Research Foundation, a Not for Profit organization, having its principal office at 304 Old Main, University Park,

Pennsylvania 16802 (hereinafter referred to as "Assignee"), the entire right, title and interest in and to such invention, together with the patent rights and rights of protection to the same throughout the world, including any patent rights which may result from the application for United States Letters Patent which was filed in the United States Patent and Trademark Office on ______ and assigned Application Serial No. _____, and any continuation, divisions, continuation-in-part applications, inventor's certificates and extensions thereof, preparatory to obtaining Letters Patent of the United States therefor, said invention, application and Letters Patent to be held and enjoyed by said Assignees for their own use and behoof and for the use and behoof of their successors and assigns, to the full end of the term for which said-letters Patent or other rights of protection may be granted as entirely as the same would have been held and enjoyed by me had the assignment not been made.

AND I COVENANT and AGREE and WARRANT that I have full and unencumbered title to the invention hereby assigned, and I further covenant and agree that I have the right to grant such rights to said invention and application and Letters. Patent and that I will, at any time upon request without cost to us or further.

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3.	Nature of conveyance: XXXX Assignment Security Agreement Other:			Merger Change of Name
	Execution Date: July 15, 2003 Application number(s) or application date If this document is being filed together with a polication is: July 15, 2003		ew applica	ation, the execution date o
		В.		on/Issue Date FASSIGNED
5. should	Name and address of party to whom corr d be mailed: Paul D. Gre Ohlandt, Greeley, Rug One Landmark So Stamford, Connecticut	eley, giero quare,	Esq. & Perle, L 10 th Floor	L.P. r
6.	Total No. of applications and patents invo	olved:	<u>1</u> .	
7.	Total Fee (37 C.F.R. 3.41(h)) \$40.00/ass XXXXX Enclosed Authorized to be charged to de	-		

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 3.41 that may be required with this communication or credit any overpayment, to Deposit Account No. 01-0467. A duplicate copy of this Form is enclosed.

To the best of my knowledge and belief,	the foregoing informatio	n is true and corre	ct and any attached	copy is a
true copy of the original document.	$\int \int \int d\Omega$	n /		

Paul D. Greeley

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Name of Person Signing

Total number of pages including cover sheet, attachments and document: 3.

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<u> DE/07/15/03</u>-USPTO



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Docket No. 823.0145USU

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled:

A LINEAR INDEPENDENCE METHOD FOR NONINVASIVE ON-LINE SYSTEM IDENTIFICATION/SECONDARY PATH MODELING FOR FILTERED-X LMS-BASED ACTIVE NOISE CONTROL SYSTEMS

the specification of w	MIIGI		v	
(check one)	XXX	is attached hereto	•	
		was filed on and wa	as Application as amended on	n Serial No. (if applicable).
			and the contents of the above by any amendment referred	
	aterial t		atent and Trademark Office of this application as defined	
application(s) for pate	ent or in on(s) for nich prio	iventor's certificate(patent or inventor's	e 35, United States Code, § s) listed below and have als s certificate(s) having a filing	o identified below
(Number)	٠	(Country)	(Dav/Mon/Year Filed) ···	
(Number)		(Country)	(Day/Mon/Year Filed)	Yes No
(Number)		(Country)	(Day/Mon/Year Filed)	Yes No
application(s) listed be application is not disc	elow an losed in	d, insofar as the su the prior United St	States Code, §120 of any U bject matter of each of the c ates application in the mann 112, I acknowledge the dut	daims of this

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occurred between the filing date of the prior application and the national or PCT international filing date of this application:

60/397,523 (Application Sertal No.)	July 19, 2002 (Filing Date)	(Status - patent, pend., abandon.)
(Application Serial No.)	(Filing Date)	(Status - patent, pend., abandon.)
(Application Serial No.)	(Filing Date)	(Status - patent, pend., abandon.)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

NAMES	REGISTRATION NUMBERS				
Paul D. Greeley	31,019 (mar.ib.)				
Charles N.J. Ruggiero	28,468				

SEND CORRESPONDENCE TO:	DIRECT TELEPHONE CALLS TO:
Paul D. Greeley, Esq.	to white the waster way the
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.	Paul D. Greeley, Esq.
One Landmark Square, 10 th Floor	Telephone: (203) 327-4500
Stamford, Connecticut 06901-2682	Telefax: (203) 327-6401

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR	LAST NAME Kim	FIRST NAME Benjamin	MIDDLE NAME Jung
RESIDENCE & CITIZENSHIP	CITY State College	STATE OR COUNTRY USA	CITIZENSHIP USA
POST OFFICE ADDRESS	P.O. ADDRESS 125 W. Park Ave., Rm 9	<u> </u>	ZIP CODE 16803

		•					
			. **				
Inventor's signature	15-1	-	Date	July	15	, 200	a :
	Reniamin June Ki	m		7			

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.:

7,343,016 B2

DATED:

March 11, 2008

INVENTORS:

Benjamin Jung KIM

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

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Code (75) Please correct residence of Inventor **Benjamin Jung Kim** to read "State College, PA (US)"

Code (73) Please correct residence of Assignee **The Penn State Research Foundation** to read "University Park, PA (US)"

IN THE DESCRIPTION

Column 1,

Line 14, after "...periodic noise." please add the following heading and paragraph from Line 15 and following:

--FEDERALLY SPONSORED RESEARCH AND DEVELOPMENT

This invention was made with government support under Contract No. N00039-97-D-0042, Delivery Order No. 227, awarded by the Naval Sea Systems Command. The Government has certain rights in the invention.--

MAILING ADDRESS OF SENDER:

Patent No.: 7,343-016 B2

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PATENT NO.:

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Ohlandt, Greeley, Ruggiero & Perle, L.L.P. One Landmark Square, 10th Floor Stamford. Connecticut 06901-2682

Patent No.: 7,343,016,B2270